



PROJECT NAME: \_\_\_\_\_

CITY, STATE: \_\_\_\_\_

LITIGATION CERTIFICATION

Complete a separate sheet for the borrower and each general partner, guarantor, limited partner owning more than 20%, significant shareholder and corporate officer ("Significant Principal"). The same sheet may be used for married borrowers / principals.

	Borrower		Co-Borrower	
	Yes	No	Yes	No
1. Have you been declared bankrupt or had a judgment against you?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2. Have you ever been a principal or guarantor of a firm that has been declared bankrupt?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3. Have you had property foreclosed upon or given title or deed in lieu of thereof?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4. Have you ever had repossession?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5. Have you requested or received modification of the terms of any existing mortgage or any other loan?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6. Have you ever been convicted of a felony or are you presently the subject of a complaint or indictment charging a felony?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7. Are you a co-maker, guarantor, or endorser on a note?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8. Are you a party to any claim or lawsuit? If yes, complete No.9	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9. Are any assets pledged as collateral?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
10. Do you own any taxes for the years prior to the current year?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
11. Have you been the subject of an IRS audit in the past three years that has not been settled?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
12. Is there any pending litigation or investigation related to the subject property or borrowing entity?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
13. Has there been any legal actions commenced or lis pendens or other litigation filed against the borrowing entity or property within the past three years?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
14. Is or has your existing Mortgage been in default within the last three years? in the last 10 years?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

I hereby certify that the information set forth above and attached hereto is true, correct and complete.

\_\_\_\_\_  
Borrower

\_\_\_\_\_  
Dated

\_\_\_\_\_  
Borrower

\_\_\_\_\_  
Dated